Amendment Under 37 C.F.R. § 1.111

Office Action dated: November 16, 2006

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions and listings of claims in the application:

LISTING OF CLAIMS:

 (Original) A method for an optimal one-shot phase and frequency estimation for timing acquisition for signals transmitted over a communications channel, the method comprising:

sampling a preamble comprising a known string of data bits;

estimating the sampled preamble (\vec{Y}), the estimated preamble further comprising an estimated amplitude (\hat{A}), an estimated frequency (\hat{f}), and an estimated phase ($\hat{\Phi}$);

calculating a cost function ($C(\hat{f}, \tilde{\Phi})$) as a function of the estimated frequency (\hat{f}) and the estimated phase ($\hat{\Phi}$);

varying at least one of the estimated frequency (\hat{f}) or estimated phase ($\hat{\Phi}$) to calculate a plurality of cost functions; and

selecting the cost function ($C(\hat{f}, \hat{\Phi})$) having a minimum value, wherein said cost function having the minimum value is a function of an optimal estimated frequency (\hat{f}) and an optimal estimated phase ($\hat{\Phi}$).

- (Original) The method of claim 1, wherein the preamble is sinusoidal.
- (Original) The method of claim 1, wherein the preamble is sampled once for each data bit in the preamble.
- (Original) The method of claim 1, wherein the sampling comprises the following calculation:

$$\bar{X} = [x_0 \cdots x_N]$$
 where $x_k = A \sin\left(\Phi + k \cdot f \cdot \frac{\pi}{2}\right) + n_k$, A is an amplitude value, Φ is a phase value. f is a frequency value, and n_k is a noise component of a k^{th} sample.

 (Original) The method of claim 1, wherein the estimating the sampled preamble comprises the following calculation:

$$\vec{1} = [y_0 \cdots y_K] \text{ where } y_k = \hat{A} \sin \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right).$$

- (Original) The method of claim 5, wherein the noise component of the sampled preamble
 has a standard deviation (σ).
- (Original) The method of claim 6, wherein the frequency value of the sampled preamble
 has a normal distribution having a standard deviation(σ_f).
- 8. (Original) The method of claim 7, wherein the calculating comprises the following: $C(\hat{f}, \hat{\Phi}) = \hat{A}^2 \sum_{i=1}^{N-1} \sin^2 \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right) 2\hat{A} \sum_{i=1}^{N-1} x_i \sin \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right) + \frac{\sigma^2 \cdot (\hat{f} \hat{f})^2}{\sigma^2}, \text{ where } \hat{f}$

- (Original) The method of claim 8, wherein each of the plurality of cost functions is calculated with a different frequency value (f) and a different phase value (d).
- (Original) The method of claim 9, wherein the plurality of cost functions are calculated substantially simultaneously.
- (Original) The method of claim 1, wherein selecting the minimum value cost function further comprises selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated frequency (f).
- 12. (Original) The method of claim 11, wherein selecting the minimum value cost function further comprises selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f) and an optimal estimated phase (û).

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- (Original) The method of claim 1, wherein selecting the minimum value cost function further comprises selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated phase (Φ).
- 14. (Original) The method of claim 13, wherein selecting the minimum value cost function further comprises selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f) and an optimal estimated phase (0).
- 15. (Original) A communications channel for an optimal one-shot phase and frequency estimation for timing acquisition for signals transmitted over the communications channel, the communications channel comprising:
 - a sampler for sampling a preamble comprising a known string of data bits;
 - a first calculator for estimating the sampled preamble (\ddot{Y}), the estimated preamble further comprising an estimated amplitude (\hat{A}), an estimated frequency (\hat{f}), and an estimated phase ($\dot{\Phi}$);
 - a second calculator for calculating a plurality of cost functions $(C(\hat{f}, \hat{\Phi}))$ as a function of the estimated frequency (\hat{f}) and the estimated phase $(\hat{\Phi})$ by varying at least one of the estimated frequency (\hat{f}) or estimated phase $(\hat{\Phi})$; and
 - a selector for determining the cost function $(C(\hat{f}, \hat{\Phi}))$ having a minimum value, wherein said cost function having the minimum value is a function of an optimal estimated frequency (\hat{f}) and an optimal estimated phase $(\hat{\Phi})$.
- 16. (Original) The communications channel of claim 15, wherein the preamble is sinusoidal.
- (Original) The communications channel of claim 15, wherein the sampler samples the preamble once for each data bit in the preamble.

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18. (Original) The communications channel of claim 15, wherein the sampler samples the preamble in accordance with the following calculation:

$$\vec{X} = [x_0 \cdots x_M]$$
 where $x_k = A \sin\left(\Phi + k \cdot f \cdot \frac{\pi}{2}\right) + n_k$, A is an amplitude value. Φ is a

phase value, f is a frequency value, and n_k is a noise component of a k^m sample.

 (Original) The communications channel of claim 15, wherein the first calculator estimates the sampled preamble in accordance with the following calculation:

$$\vec{Y} = [y_0 \cdots y_N]$$
 where $y_k = \hat{A} \sin \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right)$.

- (Original) The communications channel of claim 19, wherein the noise component of the sampled preamble has a standard deviation (o).
- (Original) The communications channel of claim 20, wherein the frequency value of the sampled preamble has a normal distribution having a standard deviation(σ_ℓ).
- 22. (Original) The communications channel of claim 21, wherein the second calculator calculates the plurality of cost functions in accordance with the following:

$$C(\hat{f},\hat{\Phi}) = \hat{A}^{1} \sum_{k=0}^{N-1} \sin^{2} \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right) - 2\hat{A} \sum_{k=0}^{N-1} x_{k} \sin \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right) + \frac{\sigma^{2} \cdot \left(\hat{f} - \hat{f} \right)^{2}}{\sigma_{f}^{2}} \text{, where } \hat{f}$$

- (Original) The communications channel of claim 22, wherein each of the plurality of
 cost functions is calculated with a different frequency value (f) and a different phase
 value (Φ).
- (Original) The communications channel of claim 23, wherein the plurality of cost functions are calculated substantially simultaneously.
- (Original) The communications channel of claim 15, wherein the selector determines the minimum value cost function by selecting a plurality of first minimum cost functions

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such that each of the first minimum cost functions has a different estimated frequency (\hat{f}) .

- 26. (Original) The communications channel of claim 25, wherein the selector determines the minimum value cost function by selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f̂) and an optimal estimated phase (Φ̂).
- 27. (Original) The communications channel of claim 15, wherein the selector determines the minimum value cost function by selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated phase (Î).
- 28. (Original) The communications channel of claim 27, wherein the selector determines the minimum value cost function by selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f) and an optimal estimated phase (\$\dagger\$).
- 29. (Original) A disk drive system for an optimal one-shot phase and frequency estimation for timing acquisition for signals transmitted over a communications channel, the system comprising:

rotating magnetic media for storing data;

a motor for rotating the magnetic media;

a recording head for transmitting data;

an actuator for positioning the recording head; and

a communications channel for communicating data to be stored on or read from the recording media, wherein the communications channel further comprises a sampler for sampling a preamble comprising a known string of data bits, a first calculator for estimating the sampled preamble (\vec{Y}), a second calculator for calculating a plurality of cost functions ($C(\vec{F}, \hat{\Phi})$) as a function of the estimated frequency (\vec{F}) and the estimated

phase $(\hat{\Phi})$ by varying at least one of the estimated frequency (\hat{f}) or estimated phase $(\hat{\Phi})$, and a selector for determining the cost function $(C(\hat{f},\hat{\Phi}))$ having a minimum value, wherein said cost function having the minimum value is a function of an optimal estimated frequency (\hat{f}) and an optimal estimated phase $(\hat{\Phi})$, and wherein the estimated preamble further comprises an estimated amplitude (\hat{A}) , an estimated frequency (\hat{f}) , and an estimated phase $(\hat{\Phi})$

- (Original) The system of claim 29, wherein the preamble is sinusoidal.
- (Original) The system of claim 29, wherein the sampler samples the preamble once for each data bit in the preamble.
- 32. (Original) The system of claim 29, wherein the sampler samples the preamble in accordance with the following calculation:

$$\vec{X} = \left\{x_0 \cdots x_\infty\right\}$$
 where $x_k = A \sin\left(\Phi + k \cdot f \cdot \frac{\pi}{2}\right) + n_k$, A is an amplitude value, Φ is a phase value, f is a frequency value, and n_k is a noise component of a k^{th} sample.

33. (Original) The system of claim 29, wherein the first calculator estimates the sampled preamble in accordance with the following calculation:

$$\ddot{Y} = [y_0 \cdots y_N] \text{ where } y_k = \hat{A} \sin \left(\dot{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right).$$

- (Original) The system of claim 33, wherein the noise component of the sampled preamble has a standard deviation (σ).
- (Original) The system of claim 34, wherein the frequency value of the sampled preamble
 has a normal distribution having a standard deviation (σ_f).
- (Original) The system of claim 35, wherein the second calculator calculates the cost functions in accordance with the following:

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$$C(\hat{f}, \hat{\Phi}) = \hat{A}^{1} \sum_{k=0}^{N-1} \sin^{2} \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2}\right) - 2\hat{A} \sum_{k=0}^{N-1} x_{k} \sin\left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2}\right) + \frac{\sigma^{2} \cdot \left(\hat{f} - \hat{f}\right)^{2}}{\sigma_{f}^{2}}, \text{ where } \hat{f}$$

- (Original) The system of claim 36, wherein each of the plurality of cost functions is calculated with a different frequency value (\$\tilde{f}\$) and a different phase value (\$\tilde{\theta}\$).
- (Original) The system of claim 37, wherein the plurality of cost functions are calculated substantially simultaneously.
- 39. (Original) The system of claim 29, wherein the selector determines the minimum value cost function by selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated frequency (f).
- 40. (Original) The system of claim 39, wherein the selector determines the minimum value cost function by selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (ĵ) and an optimal estimated phase (ô).
- (Original) The system of claim 29, wherein the selector determines the cost minimum value function by selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated phase (\(\hat{\Phi}\)).
- 42. (Original) The system of claim 41, wherein the selector determines the minimum value cost function by selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (ĵ) and an optimal estimated phase (ф).
- 43. (Original) A communications channel for an optimal one-shot phase and frequency estimation for timing acquisition for signals transmitted over the communications channel, the communications channel comprising:
 - a means for sampling a preamble comprising a known string of data bits;

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a means for estimating the sampled preamble (\hat{F}), the estimated preamble further comprising an estimated amplitude (\hat{A}), an estimated frequency (\hat{f}), and an estimated phase ($\hat{\Psi}$);

a means for calculating a plurality of cost functions $(C(\hat{f}, \hat{\Phi}))$ as a function of the estimated frequency (\hat{f}) and the estimated phase $(\hat{\Phi})$ by varying at least one of the estimated frequency (\hat{f}) or estimated phase $(\hat{\Phi})$; and

a means for selecting the cost function ($C(\hat{f}, \hat{\Phi})$) having a minimum value, wherein said cost function having the minimum value is a function of an optimal estimated frequency (\hat{f}) and an optimal estimated phase ($\hat{\Phi}$).

- 44. (Original) The communications channel of claim 43, wherein the preamble is sinusoidal.
- (Original) The communications channel of claim 43, wherein the preamble is sampled once for each data bit in the preamble.
- 46. (Original) The communications channel of claim 43, wherein the means for sampling samples the preamble in accordance with the following calculation:

$$\vec{X} = [x_0 \cdots x_N]$$
 where $x_k = A \sin\left(\Phi + k \cdot f \cdot \frac{\pi}{2}\right) + n_k$, A is an amplitude value, Φ is a phase value, f is a frequency value, and n_k is a noise component of a k^{th} sample.

(Original) The communications channel of claim 43, wherein the means for estimating estimates the sampled preamble in accordance with the following calculation:

$$\vec{Y} = [y_0 \cdots y_N] \text{ where } y_k = \hat{A} \sin \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right).$$

 (Original) The communications channel of claim 47, wherein the noise component of the sampled preamble has a standard deviation (a).

- (Original) The communications channel of claim 48, wherein the frequency value of the sampled preamble has a normal distribution having a standard deviation(σ_ℓ).
- (Original) The communications channel of claim 49, wherein the means for calculating calculates the cost function in accordance with the following:

$$C(\hat{f},\hat{\Phi}) = \hat{A}^{2}\sum_{k=0}^{k-1}\sin^{2}\left(\hat{\Phi}+k\cdot\hat{f}\cdot\frac{\pi}{2}\right) - 2\hat{A}\sum_{k=0}^{N-1}x_{k}\sin\left(\hat{\Phi}+k\cdot\hat{f}\cdot\frac{\pi}{2}\right) + \frac{\sigma^{2}\cdot\left(\hat{f}-\hat{f}^{*}\right)^{2}}{\sigma_{f}^{2}}, \text{ where } \hat{f}$$

- 51. (Original) The communications channel of claim 50, wherein each of the plurality of cost functions is calculated with a different frequency value (\hat{f}) and a different phase value ($\hat{\Phi}$).
- (Original) The communications channel of claim 51, wherein the plurality of cost functions are calculated substantially simultaneously.
- 53. (Original) The communications channel of claim 43, wherein means for selecting selects the minimum value cost function by selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated frequency (f̂).
- 54. (Original) The communications channel of claim 53, wherein the means for selecting selects the minimum value cost function by selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f̂) and an optimal estimated phase (Φ̂).
- 55. (Original) The communications channel of claim 43, wherein the means for selecting selects the minimum value cost function by selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated phase (\$\hat{\phi}\$).

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- 56. (Original) The communications channel of claim 55, wherein the means for selecting selects the minimum value cost function by selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f) and an optimal estimated phase (d).
- 57. (Currently Amended) A computer program product eontaining encoded with a computer program for providing performing a method for an optimal one-shot phase and frequency estimation for timing acquisition for signals transmitted over a communications channel, the program method comprising:

sampling a preamble comprising a known string of data bits;

estimating the sampled preamble (\mathring{Y}), the estimated preamble further comprising an estimated amplitude (\mathring{A}), an estimated frequency (\mathring{f}), and an estimated phase ($\mathring{\Phi}$):

calculating a cost function ($C(\tilde{f},\hat{\Phi})$) as a function of the estimated frequency (\hat{f}) and the estimated phase ($\hat{\Phi}$);

varying at least one of the estimated frequency (\tilde{f}) or estimated phase ($\hat{\Phi}$) to calculate a plurality of cost functions; and

selecting the cost function ($C(\hat{f}, \hat{\Phi})$) having a minimum value, wherein said cost function having the minimum value is a function of an optimal estimated frequency (\hat{f}) and an optimal estimated phase ($\hat{\Phi}$).

- (Original) The computer program product of claim 57, wherein the preamble is sinusoidal.
- (Original) The computer program product of claim 57, wherein the preamble is sampled once for each data bit in the preamble.

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60. (Original) The computer program product of claim 57, wherein the sampling comprises the following calculation:

$$\vec{X} = [x_0 \cdots x_n]$$
 where $x_k = A \sin\left(\Phi + k \cdot f \cdot \frac{\pi}{2}\right) + n_k$, A is an amplitude value, Φ is a phase value, f is a frequency value, and n_k is a noise component of a k^{th} sample.

 (Original) The computer program product of claim 57, wherein the estimating the sampled preamble comprises the following calculation:

$$\tilde{Y} = [y_0 \cdots y_N] \text{ where } y_k = \hat{A} \sin \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2}\right).$$

- (Original) The computer program product of claim 51, wherein the noise component of the sampled preamble has a standard deviation (a).
- (Original) The computer program product of claim 62, wherein the frequency value of the sampled preamble has a normal distribution having a standard deviation(σ_f).
- 64. (Original) The computer program product of claim 63, wherein the calculating comprises the following:

$$C(\hat{f},\hat{\Phi}) = \hat{A}^{1}\sum_{k=0}^{n-1}\sin^{2}\left(\hat{\Phi}+k\cdot\hat{f}\cdot\frac{\pi}{2}\right) - 2\hat{A}\sum_{k=0}^{n-1}x_{k}\sin\left(\hat{\Phi}+k\cdot\hat{f}\cdot\frac{\pi}{2}\right) + \frac{\sigma^{2}\cdot\left(\hat{f}-\hat{f}\right)^{2}}{\sigma_{f}^{2}}, \text{ where } \hat{f}$$

- (Original) The computer program product of claim 64, wherein each of the plurality of
 cost functions is calculated with a different frequency value (f) and a different phase
 value (f).
- (Original) The computer program product of claim 65, wherein the plurality of cost functions are calculated substantially simultaneously.

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- 67. (Original) The computer program product of claim 57, wherein selecting the minimum value cost function further comprises selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated frequency (f̂).
- 68. (Original) The computer program product of claim 67, wherein selecting the minimum value cost function further comprises selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f̂) and an optimal estimated phase (Φ̂).
- 69. (Original) The computer program product of claim 57, wherein selecting the minimum value cost function further comprises selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated phase (\(\tilde{\phi} \)).
- 70. (Original) The computer program product of claim 69, wherein selecting the minimum value cost function further comprises selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f) and an optimal estimated phase (Φ).
- (Original) A disk drive system for an optimal one-shot phase and frequency estimation for timing acquisition for signals transmitted over a communications channel, the system comprising:

means for storing data:

means for rotating the means for storing:

means for transmitting data to and from the means for storing;

means for positioning the means for transmitting data; and

means for communicating data to be stored on or read from the means for storing, wherein said means for communicating further comprises means for sampling a preamble comprising a known string of data bits, means for estimating the sampled preamble (\tilde{Y}) .

means for calculating a plurality of cost functions $(C(\hat{f},\hat{\Phi}))$ as a function of the estimated frequency (\hat{f}) and the estimated phase $(\hat{\Phi})$ by varying at least one of the estimated frequency (\hat{f}) or estimated phase $(\hat{\Phi})$, and means for determining the cost function $(C(\hat{f},\hat{\Phi}))$ having a minimum value, wherein said cost function having the minimum value is a function of an optimal estimated frequency (\hat{f}) and an optimal estimated phase $(\hat{\Phi})$, and wherein the estimated preamble further comprises an estimated amplitude (\hat{A}) , an estimated frequency (\hat{f}) , and an estimated phase $(\hat{\Phi})$

- 72. (Original) The system of claim 71, wherein the preamble is sinusoidal.
- (Original) The system of claim 71, wherein the means for sampling samples the preamble once for each data bit in the preamble.
- 74. (Original) The system of claim 71, wherein the means for sampling samples the preamble in accordance with the following calculation:

$$\ddot{X} = [x_0 \cdots x_n]$$
 where $x_k = A \sin\left(\Phi + k \cdot f \cdot \frac{\pi}{2}\right) + n_k$, A is an amplitude value, Φ is a phase value, f is a frequency value, and n_k is a noise component of a k^{th} sample.

75. (Original) The system of claim 71, wherein the means for estimating the sampled preamble in accordance with the following calculation:

$$\vec{Y} = [y_0 \cdots y_n]$$
 where $y_k = \hat{A} \sin \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2}\right)$.

- (Original) The system of claim 75, wherein the noise component of the sampled preamble has a standard deviation (σ).
- (Original) The system of claim 76, wherein the frequency value of the sampled preamble bas a normal distribution having a standard deviation(σ_c).

 (Original) The system of claim 77, wherein the means for calculating calculates the cost functions in accordance with the followine:

$$C(\hat{f},\hat{\Phi}) = \hat{A}^2 \sum_{k=0}^{N-1} \sin^2 \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right) - 2 \hat{A} \sum_{k=0}^{N-1} x_k \sin \left(\hat{\Phi} + k \cdot \hat{f} \cdot \frac{\pi}{2} \right) + \frac{\sigma^2 \cdot \left(\hat{f} - \hat{f} \right)^2}{\sigma_f^2} , \text{ where } \hat{f} = 0$$

- (Original) The system of claim 78, wherein each of the plurality of cost functions is calculated with a different frequency value (f) and a different phase value (d).
- (Original) The system of claim 79, wherein the plurality of cost functions are calculated substantially simultaneously.
- 81. (Original) The system of claim 71, wherein the means for selecting determines the minimum value cost function by selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated frequency (f).
- 82. (Original) The system of claim 81, wherein the means for selecting determines the minimum value cost function by selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f) and an optimal estimated phase (Φ).
- 83. (Original) The system of claim 71, wherein the means for selecting determines the cost minimum value function by selecting a plurality of first minimum cost functions such that each of the first minimum cost functions has a different estimated phase (\$\delta\$).
- 84. (Original) The system of claim 83, wherein the means for selecting determines the minimum value cost function by selecting a second minimum cost function from the plurality of first minimum cost functions, and wherein the second minimum cost function is a function of an optimal estimated frequency (f) and an optimal estimated phase (\$\hat{\Phi}\$).